Attorney Docket No.: 021822-000200US Client Reference No.: 03FW01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kun Lu

Application No.: 10/691,474

Filed: October 21, 2003

For: RING MAP DISCOVERY AND VALIDATION METHOD AND SYSTEM FOR OPTICAL NETWORK

APPLICATIONS

Confirmation No.: 5026

Examiner: SEDIGHIAN, REZA

Art Unit: 2613

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. A copy of the foreign reference is enclosed. These references have been provided by the Search/Examination report corresponding to the PCT application, a copy of which is also enclosed.

As shown on the Search/Examination report, the references have been classified into Category A for "document defining the general state of the art which is not considered to be of particular relevance." Nonetheless, Applicant, in the abundance of caution, submits this communication to make a full explicit disclosure before the U.S. Patent Office.

<u>PATENT</u>

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It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

If a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account:

Respectfully submitted,

Daniel Mas

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Substitute for form 1449A&B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT							Complete if Known																
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Examiner Signature	·	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.